



STATE OF MAINE
COMMISSION ON GOVERNMENTAL ETHICS
AND ELECTION PRACTICES
135 STATE HOUSE STATION
AUGUSTA, MAINE
04333-0135

REPORTING REQUIREMENTS FOR 2006 INDEPENDENT EXPENDITURES

Maine Election Law requires any person, political action committee, or party committee that makes an “independent expenditure” to file the attached report with the Ethics Commission. An independent expenditure is any expenditure aggregating more than \$100 per candidate that expressly advocates the election or defeat of a clearly identified candidate. (Any expenditure made in cooperation or consultation with a candidate or his or her campaign is considered a contribution to the candidate and is not an independent expenditure. Expenditures coordinated with a candidate must be reported by the candidate as a contribution.) See 21-A M.R.S.A. §1019-B.

Special Requirements in Effect for 21 Days Before an Election (May 24 - June 13 and October 18 - November 7, 2006)

In races involving a Maine Clean Election Act candidate, any expenditure made to design, produce, or disseminate a communication that names or depicts a clearly identified candidate and that is disseminated during the 21 days before an election (including election day) will be presumed to be an independent expenditure. This presumption applies even if the communication contains no express advocacy. The person making the expenditure may rebut the presumption within 48 hours of making the expenditure by filing a signed written statement that the cost was not incurred with the intent to influence the election. Another form on the Commission’s website is available for this purpose. After the rebuttal statement is filed, the Ethics Commission will determine whether the cost was incurred with the intent to influence the election.

For the 2006 elections, independent expenditures **in excess of \$250 per candidate** must be reported to the Commission **within 24 hours** of making those expenditures. Independent expenditures aggregating in excess of \$100 per candidate, but not in excess of \$250, must be reported to the Commission according to the deadlines on the cover page of the report.

New Requirements for the 2006 Elections

Please note that the following events constitute making an expenditure even if no payment has been made to the vendor: placing an order for a good or service; a promise or agreement (including an implied one) that a payment will be made; the signing of a contract for a good or service; and the delivery of a good or the performance of a service by a vendor. A report of an independent expenditure of more than \$250 per candidate must be filed within 24 hours of those events. See 21-A M.R.S.A. §1012(3)(A)(2) and Chapter 1, Section 7(3) of the Commission Rules.

An independent expenditure report filed in the last 7 days before an election is required to include additional information on Schedule B-IE-3.

STATE OF MAINE
COMMISSION ON GOVERNMENTAL ETHICS AND ELECTION PRACTICES

Mail: 135 State House Station
Office: 242 State Street
Augusta, Maine 04333
Tel: (207) 287-4179 Fax: (207) 287-6775
www.maine.gov/ethics

**CAMPAIGN FINANCE REPORT
OF 2006 INDEPENDENT EXPENDITURES**

Name of Person/Committee Making Expenditure(s) _____

Mailing Address _____

City, Zip Code _____ Telephone _____

INSTRUCTIONS

Complete the notarized affidavit and attached schedules. Please check the appropriate box for the report you are filing. Please see previous page for reporting requirements.

INDEPENDENT EXPENDITURES OF MORE THAN \$250 PER CANDIDATE

Independent expenditures of more than \$250 per candidate must be reported to the Commission within 24 hours of making the expenditure. Please be aware that under the Commission's Rules making an expenditure includes placing an order for a good or service or making a promise or agreement that a payment will be made.

☐ Report of Independent Expenditure over \$250

INDEPENDENT EXPENDITURES OF MORE THAN \$100 AND UP TO \$250 PER CANDIDATE

Primary Election		General Election	
Reporting Period	Filing Deadline	Reporting Period	Filing Deadline
<input type="checkbox"/> Through June 1	June 1	<input type="checkbox"/> June 14 – July 5	July 15
<input type="checkbox"/> After June 1	Within 24 Hours	<input type="checkbox"/> July 6 – Sept. 30	October 10
		<input type="checkbox"/> October 1 – 26	October 26
		<input type="checkbox"/> After October 26	Within 24 Hours

OTHER

☐ Amendment to Earlier Report Dated: _____

☐ Other(specify): _____

I CERTIFY THAT THE INFORMATION IN THIS REPORT IS TRUE, CORRECT AND COMPLETE.

Signature of PAC or Party Treasurer, or
Other Person Making Expenditure(s)

Date

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INDEPENDENT EXPENDITURES

AFFIDAVIT

STATE OF _____

COUNTY OF _____

_____, being duly sworn, attests that he/she made each of the expenditures listed in the attached report independently, and not in cooperation, consultation, or concert with, or at the request or suggestion of, the candidates named in the report or the authorized committees or agents of the candidates.

(Signature of Affiant)

Sworn to before me, this _____ day of _____ 2006.

(Notary Public/Attorney at Law)

Schedule B-IE-1

CANDIDATE(S) SUPPORTED/OPPOSED

- Please list all candidates that were the subject of independent expenditures.
- If more than one candidate was the subject of the expenditure, allocate the expenditure among the candidates.

Office sought by candidate (including district #)	Candidate's Name	Indicate whether expenditure was made in support of or in opposition to the candidate	Amount expended this reporting period for each candidate

Schedule B-IE-2

PAYMENTS AND OBLIGATIONS

- Please indicate the date, payee, expenditure type, and amount of each expenditure.
- If you are reporting an agreement or obligation to make a future payment, please check (✓) the box next to the purpose of the expenditure.

Expenditure Types			
LIT	Campaign literature (printing and graphics)	PRT	Print media ads
MHS	Mail house (all services purchased)	RAD	Radio ads, production costs
PHO	Phone banks, automated telephone calls	TVN	TV or cable ads, production costs
POL	Polling and research survey	WEB	Internet and e-mail
POS	Postage for U.S. Mail	OTH	Other (include description)

Date of expenditure	Payee, address, zip code	Expenditure Type	✓	Amount
A. Expenditures for this page ⇒				
B. Total for all other Schedule B-IE-2 pages (if any) ⇒				
C. Total independent expenditures for this reporting period (A+B) ⇒				

Schedule B-IE-3

EXPENDITURE DETAILS

- For reports filed after June 5, 2006 for the primary election, or filed after October 30, 2006 for the general election, the following information must be provided.

1. The date on which the person making the expenditure placed the order with the vendor for the goods or services	
2. The approximate date when the vendor began providing design or any other services in connection with the expenditure	
3. The date on which the person making the expenditure first learned of the total amount of the expenditure	
4. A statement why the expenditure could not be reported by the eighth day before the election	